

# A Risk-benefit Assessment Approach to Selection of Adjuvant Chemotherapy in Elderly Patients with Early Breast Cancer: A Mini Review

## Abstract

Decision-making regarding the use and selection of adjuvant chemotherapy for breast cancer in elderly patients is challenging due to the presence of age-related comorbidities, frailty, and competing causes of mortality. One area, relatively neglected in most guidelines, is the effect of competing causes of mortality on presumed benefit of adjuvant chemotherapy for breast cancer in these patients. This article utilizes a clinical case to illustrate the principles of risk-benefit assessment of adjuvant chemotherapy in elderly patients. We suggest an approach that incorporates validated tools for estimating survival benefits of adjuvant chemotherapy, geriatric assessment, predicting toxicity, and estimating remaining life expectancy without cancer. Integration of all these variables provides a better picture of the possible benefits and harms of adjuvant chemotherapy in this population compared to conventional approaches that incorporate tumor-related variables and nonstandard measures of geriatric assessment.

**Keywords:** *Adjuvant chemotherapy, comorbidities, competing causes of mortality, early breast cancer, elderly*

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## Clinical Case

A 65-year-old postmenopausal woman presented with the complaints of progressive painless right breast lump for 2 months and occasional low backache for 4 months which started after a fall in her bathroom. She had hypertension for 15 years controlled on amlodipine, and cervical and lumbar spondylosis for the preceding 5 years for which she self-medicated with nonsteroidal analgesics. There was no history of diabetes, chronic obstructive pulmonary disease, tobacco or alcohol use, and her family history was not significant. She reported her overall health to be good, could perform all daily activities without help, walk a few blocks, and climb one flight of stairs but had stopped regular exercise for a few months.

On examination, her weight was 65 kg, body mass index (BMI) was 26 kg/m<sup>2</sup>, and Eastern Cooperative Oncology Group (ECOG) performance status (PS) was 1. She had a 4-cm right breast lump with normal overlying skin and a single 1.5-cm mobile palpable node in the right axilla.

Systemic examination was unremarkable except mild tenderness over L1 spine. Core biopsy revealed a high-grade invasive ductal carcinoma (IDC) negative for estrogen receptor (ER), progesterone receptor, and human epidermal growth factor 2 receptor. Chest radiograph and ultrasound of abdomen did not reveal any metastases, and MRI evaluation of dorso-lumbar spine showed compression fracture of L1 vertebral body without any mass lesion. Routine blood investigations including complete blood count, renal and liver functions were normal, and there was evidence of Vitamin D deficiency for which she was started on calcium and Vitamin D supplementation. A final diagnosis of clinically T2, N1, and M0 triple-negative breast cancer (TNBC) was made.

She was further evaluated for cardiac function as part of routine workup. Electrocardiogram did not reveal any significant abnormality, but two-dimensional echocardiogram showed a left ventricular ejection fraction (LVEF) of 45%. Left and right ventricular systolic functions were reported to be fair, there was Grade II diastolic dysfunction, and regional

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wall motion abnormality was reported in the left ventricle. There was a jerky motion of interventricular septum with moderate tricuspid regurgitation and moderate pulmonary hypertension with pulmonary arterial systolic pressure of 50 mmHg. On further questioning, she denied ever having experienced symptoms related to cardiac illnesses or restriction in daily activities but could not perform any exertional physical activity. Subsequent multigated acquisition scan confirmed reduced LVEF of 45%, and dobutamine stress test was negative for inducible ischemia.

### Definitive treatment

This patient did not desire breast conservation and underwent modified radical mastectomy. Histopathology report showed a pathological tumor size of 3 cm with negative margins, IDC Grade II, and Modified Richardson Bloom Score of 7. Lymphovascular emboli were present while perineural invasion and ductal carcinoma *in situ* were absent. Two out of 14 lymph nodes dissected were positive for metastasis. The patient also underwent L1 vertebroplasty at the same time and the bone biopsy obtained was negative for metastatic involvement. This patient presented to us for consideration of adjuvant chemotherapy.

## Principles of Evaluation for Adjuvant Treatment

### Estimating the overall benefit of adjuvant chemotherapy regimens

As per the 2012 Early Breast Cancer Trialists' Collaborative Group (EBCTCG) meta-analysis, standard anthracycline-taxane adjuvant polychemotherapy reduces the relative risk of breast cancer-related mortality at 10 years by 33% compared to no chemotherapy, with an overall absolute 10% benefit.<sup>[1]</sup> Similar relative benefit of standard chemotherapy was obtained in the subgroup analysis in the 55–69 years' age group. Dose-dense chemotherapy, which was not included in the EBCTCG meta-analysis, would add to this benefit. Although the relative benefit of chemotherapy appears to be independent of age (at least up to 70 years), nodal status, tumor diameter, differentiation and receptor status, the absolute benefit is proportional to recurrence risk. Therefore, the absolute benefit of chemotherapy may be higher in patients with larger, higher grade, node-positive, TNBC subgroups.

Due to higher rate of comorbidities and decrease in physiological organ reserve, the toxic impact of chemotherapy on daily function and quality of life may be greater and benefits are possibly lower than younger women. Only a few randomized adjuvant trials have included elderly patients, especially those more than 70 years of age. A retrospective analysis of four CALGB prospective trials (7851, 8082, 8541, and 9344, respectively) suggested that the overall survival (OS) and recurrence-free survival (RFS) benefits that older women derive from more aggressive adjuvant chemotherapy regimen are similar to that conferred on their younger counterparts.<sup>[2]</sup> For women older than 65, there

was a 42% reduction in relapse rate and a 27% reduction in the overall mortality rate associated with more intensive as opposed to less intensive chemotherapy. However, patients aged  $\geq 65$  years, who comprised 8% of total population in this analysis, were found to have significantly more treatment-related deaths (0.2% for  $\leq 50$  years, 0.7% for 51–64 years, and 1.5% for  $\geq 65$  years,  $P < 0.001$ ).

CALGB-49907 trial is the largest prospective study that evaluated adjuvant chemotherapy regimens in elderly patients with breast cancer.<sup>[3]</sup> In this study, 633 patients of operable breast cancer with age  $\geq 65$  years, PS 0–2, tumor diameter  $> 1$  cm, adequate organ function, and clear surgical margins were randomized to receive either standard adjuvant chemotherapy (6 cycles of cyclophosphamide + methotrexate + 5-fluorouracil [CMF] or 4 cycles of adriamycin + cyclophosphamide [AC]) or 6 cycles of capecitabine. Sixty-five percent of the patients were  $\geq 70$  years of age and about 5% were  $\geq 80$  years of age. Ninety-seven percent had a PS of 0 or 1 and only 3% were PS 2. Results showed that capecitabine was inferior but less toxic than standard chemotherapy. Three-year RFS and OS were 68% and 86% in capecitabine group versus 85% and 91%, respectively, in the standard chemotherapy group, while moderate-to-severe toxicity was seen in 33% and 64% of patients in the two groups, respectively.

Two other randomized trials have compared a nonanthracycline regimen of docetaxel-cyclophosphamide (taxotere and cyclophosphamide [TC]) with anthracycline regimens. The US Oncology Group Trial showed that 4 cycles of TC were superior to 4 cycles of AC in both disease-free survival (DFS) (81% vs. 75%, respectively, Hazard ratio [HR] = 0.74,  $P = 0.033$ ) and OS (87% vs. 82%, respectively, HR = 0.69,  $P = 0.032$ ) at a median follow-up of 7 years.<sup>[4]</sup> In the preplanned subset analysis of DFS in patients  $\geq 65$  years age also, TC was superior compared to AC (70% vs. 65%, respectively, HR = 0.70) as was OS (78% vs. 70%, respectively). The rate of cardiac toxicity was lower in TC (two deaths due to cardiac illness) compared to AC (five cardiac deaths), but that of febrile neutropenia (FN) was higher (TC vs. AC, 5% vs. 2.5% overall and 8% vs. 4%, respectively, in elderly age group). A more recent study compared 6 cycles of TC with several standard full anthracycline-taxane regimen (TaxAC) in high-risk Her2 negative breast cancer.<sup>[5]</sup> TC was proven inferior with 4-year invasive DFS as 88.2% for 6 TC vs. 90.7% for TaxAC ( $P = 0.04$ ).

Thus, taking the available evidence into account, CMF and TC are both appropriate choices when anthracyclines are contraindicated as in our patient, although the strongest evidence, especially in elderly patients, is available for the former.

### Utilizing clinical prognostic tools in estimating the benefit of adjuvant chemotherapy

Multivariable prognostic tools have been developed which utilize clinical and tumor characteristics to estimate the

benefit of adjuvant chemotherapy. Adjuvant! Online incorporates age and comorbidities to estimate their impact on treatment benefit and survival,<sup>[6]</sup> and PREDICT also estimates the added survival benefit of adjuvant systemic therapies.<sup>[7]</sup> Both tools have been validated in large cohorts and help patients as well as clinicians to consider the realistic benefits of various adjuvant therapies, especially chemotherapy. On the basis of these tools, guidelines suggest consideration of adjuvant chemotherapy if there is >10% risk of mortality from breast cancer at 10 years and if chemotherapy decreases 10-year mortality by  $\geq 5\%$ . Adjuvant chemotherapy is generally not offered if the benefit is <3% and may be given as per patient preference if benefit is between 3%–5%.<sup>[7,8]</sup>

### Toxicities of chemotherapy

The boundary of therapeutic and toxic effect of adjuvant chemotherapy is very narrow. Therefore, ascertaining the expected toxicity and tolerance of a regimen becomes very important, especially in older patients with concurrent comorbidities. Life-threatening late toxicities include 0.5%–1.5% risk of anthracycline-induced cardiac dysfunction and 0.5% risk of secondary myelodysplastic syndrome (MDS)/leukemia.<sup>[9]</sup> The 5- and 10-year rates of congestive heart failure in a multivariate Cox regression analysis of SEER data from 1992 to 2002 for women aged 66–70 years receiving chemotherapy were 19% and 38.4% for anthracycline cohort, 18% and 32.5% for nonanthracycline cohort, and 15% and 29% for no-chemotherapy cohorts, respectively.<sup>[10]</sup> A retrospective analysis of three CALGB studies reported 1% of cardiac deaths and 1.8% of acute myeloid leukemia-/MDS-related deaths in patients  $\geq 65$  years of age.<sup>[11]</sup> Other long-term toxicities include 25%–60% chance of taxane-induced persistent symptomatic neuropathy. The rate of Grade III–IV neurotoxicity in patients  $\geq 65$  year age has been reported as 9%.<sup>[11]</sup> Chemotherapy is also related to metabolic syndrome and weight gain with 60% chances of being overweight and 30% chances of obesity after chemotherapy, which increases cardiovascular morbidity and mortality.<sup>[12]</sup> Early menopause, distress, depression, anxiety, and cognitive

impairment are other significant and often underestimated after-effects of chemotherapy which contribute to long-term morbidity.

Acute life-threatening toxicities include 5%–25% risk of FN requiring admission and 1%–2% risk of severe hypersensitivity reaction, with an overall average mortality risk of 1%, for different chemotherapy regimens and age groups. Patients of age  $\geq 65$  years are 66% more likely to have Grade 4 hematologic toxicity than those aged  $\leq 50$  years and a 6% chance of treatment discontinuation compared to 2% in the latter age group.<sup>[11]</sup> A large retrospective study analyzed the risk of hospitalization according to chemotherapy regimen in early breast cancer.<sup>[13]</sup> Among patients aged <65 years, hospitalization rates ranged from 6.2% for dose-dense AC + wP (AC followed by weekly-paclitaxel) to 10% for TAC (docetaxel + adriamycin + cyclophosphamide). Those who received TAC and AC + T (AC followed by docetaxel) had significantly higher rates of hospitalization than did patients who received TC. Among patients aged  $>65$  years, these rates ranged from 12.7% (TC) to 24.2% (TAC), and the rates of hospitalization of patients who received TAC, AC + T, AC, or AC + wP were higher than those of patients who received TC [Figure 1]. Apart from infection, FN and hematological toxicities, acute nonhematological toxicities such as nausea and vomiting, mucositis, diarrhea, constipation, dyspepsia, fatigue, and alopecia lead to distress, dropout, decreased quality of life, and increased cost of treatment.

### Predicting chemotherapy toxicity – risk assessment tools

Various risk assessment tools are available which utilize patient- and chemotherapy-related factors to assess fitness for chemotherapy and estimate the expected toxicities. These tools may be useful in elderly patients and those with significant medical comorbidities where even low-grade toxicities may be enough to cause functional decline, deterioration in quality of life, and even death. There is wide heterogeneity in health and functional status among the elderly population. Chronological age alone provides only limited information regarding an individual's

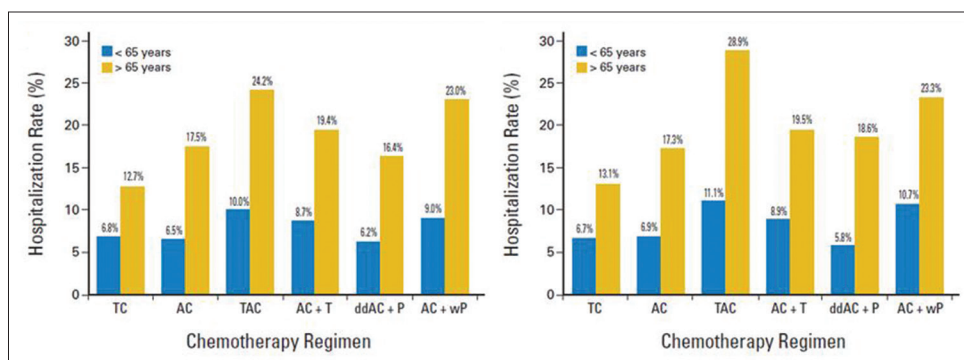


Figure 1: Risk of hospitalization according to different chemotherapy regimens in early breast cancer.<sup>[13]</sup> “Reprinted with permission © (2017) American Society of Clinical Oncology. All rights reserved”



potential tolerance to cancer treatment. Apart from the traditional PS scales, KPS (Karnofsky PS) or ECOG such as and organ function status, several other domains of geriatric assessment need to be evaluated as they impact treatment decisions and outcomes. A multidimensional interdisciplinary comprehensive geriatric assessment (CGA) offers a systematic approach to evaluating the fitness of older cancer patients for various adjuvant therapies.<sup>[14]</sup> Consensus guidelines from both the National Comprehensive Cancer Network and the International Society for Geriatric Oncology (SIOG) recommend the routine use of a geriatric assessment scale for patients who are >65 years.<sup>[15]</sup> The key domains that are included in CGA are functional status, comorbidities, cognition, nutrition, psychological state, social support, and medication review. This information can be used to anticipate and manage toxicity, predict survival, uncover unexpected health problems, improve nutrition, and improve pain control.<sup>[16]</sup> Geriatric assessment allows for characterization of a patient into one of the three following groups: fit, vulnerable, and frail, to assist in clinical decision-making. While a frail patient may be offered palliative care, vulnerable patients can be optimized using rehabilitative strategies to make them fit for standard chemotherapy or be offered modified chemotherapy using extra precautions. Others have developed a nomogram based on elements of CGA for Asian patients that predicts OS in elderly patients with cancer.<sup>[17]</sup> A full CGA is time-consuming and requires specialized personnel and resources. Hence, simplified geriatric screening tools are required to identify vulnerable patients for whom a CGA could potentially optimize their cancer treatment. These include French G8 tool and the Flemish version of the Triage Risk Screening Tool (fTRST).<sup>[18,19]</sup>

Models based on CGA have been developed to predict chemotherapy toxicity. In a pivotal study, Hurria *et al.* investigated factors associated with chemotherapy toxicity in 500 patients of different cancers aged  $\geq 65$  years. In addition to demographic and standard clinical variables such as age, cancer type, chemotherapy dose, and creatinine clearance, their study found that deficits identified by CGA such as hearing impairment, limited physical function, falls, and decreased social activity predicted risk of Grade 3-5 chemotherapy toxicity in older patients. These parameters were combined into a Cancer and Aging Research Group (CARG) model and patients were stratified into high-, mid-, and low-risk groups which predicted 83%, 52%, and 30% risk of severe toxicity, respectively. This approach was significantly better than the clinician assessment of KPS for predicting chemotherapy toxicity.<sup>[20]</sup> In contrast to CGA, CARG tool can be administered in a much shorter time frame. Another tool, Chemotherapy Risk Assessment Scale for High-Age Patient (CRASH) score, which was developed in patients >70 years, can provide reasonable accurate estimates of risk of chemotherapy toxicity and help tailor therapy.<sup>[21]</sup>

## Evaluating risk of other-cause mortality

### *Life expectancy calculation*

Patients are at risk of dying not only from cancer (cause-specific mortality) but also from other causes (other-cause mortality). Adjuvant systemic cancer therapy will obviously only reduce cause-specific mortality in most instances. It could either have no effect or increase other-cause mortality. Thus, one way of considering the real benefit of adjuvant systemic therapy could be as follows: net gain in all-cause mortality = (gain in cause-specific mortality) – (increase in other-cause mortality). However, this equation does not account for “competing causes of mortality.” The risk of other-cause mortality keeps increasing with age because of progressively increasing comorbidities. The implication is that, with increasing age, the number of breast cancer patients who are at risk of dying from breast cancer goes on decreasing.<sup>[22]</sup> Since adjuvant systemic therapy (and radiotherapy) can only potentially prevent breast cancer deaths, the absolute mortality benefit of these treatments declines with age. The preceding formulation is not self-evident to clinicians and is not often considered. However, the end point of OS in clinical trials incorporates all causes of death, including competing causes, and is therefore the most robust measure of benefit from a treatment.

One thought example would be useful to illustrate this point: consider a group of 100 women with clinically T1–T2, N0, breast cancer, all of whom also have New York Heart Association (NYHA) class IV dilated cardiomyopathy and are between the ages of 65 and 75 years. A cardiologist estimates that the 1-year cardiac-cause survival of this cohort is likely to be 30% and 3-year survival is approximately 5%. It is evident that, in this particular breast cancer cohort, no cancer-directed treatment (including definitive surgery) is likely to result in any substantial reduction in all-cause mortality because the overwhelming majority are likely to die of cardiac failure, on which this treatment is likely to have no or detrimental impact. Persisting with this thought example, what would be the overall mortality gain from adjuvant chemotherapy in a group of patients aged between 65 and 75 years, of whom 3% have end-stage renal disease, 6% NYHA class I-II cardiac disease, 1% NYHA class III-IV cardiac disease, 16% poorly controlled hypertension, 14% well-controlled hypertension, 8% poorly controlled diabetes, 15% well-controlled diabetes, 7% more than one comorbidity, and 30% free of any comorbidities? It is evident that the probability of dying of a cause other than breast cancer over time is real and substantial in all these hypothetical groups of patients but is complex to compute, especially in the last group. Despite obvious difficulty, it is important to appreciate that the absolute all-cause mortality gain from any cancer intervention is likely to be less in a group of patients with higher other-cause mortality compared to

a group with lower other-cause mortality, given the same efficacy as an anticancer treatment in both groups. The Prostate Cancer Community has appreciated this concept for a long time and incorporated it in their clinical trials and decision-making, but this has not been the case with breast cancer. Therefore, the patient's estimated remaining life expectancy, independent of her breast cancer diagnosis and inclusive of comorbidities, aids in decision-making.

Country-specific and age-adjusted life expectancy tables for both males and females, provided by population census data or life insurance data, can be utilized. Such updated life expectancy tables are also provided by the World Health Organization (WHO) and calculators based on these data can be used.<sup>[23,24]</sup> However, different factors which influence life expectancy need to be considered for realistic estimates and several tools are available to aid us in a variety of different patient populations (e.g., community dwelling or nursing home). Many of these tools are available in an online calculator format on the "ePrognosis" website,<sup>[25]</sup> which itself is based on the meta-analysis of individual participant data from five cohorts of older adults in developed and developing countries.<sup>[26]</sup> This index is based on age, gender, comorbidity status (diabetes, cardiac, pulmonary, cancer), habits (smoking, alcohol), BMI, several easily ascertained functional status inputs (physical activity, bathing, walking, and orientation in time), and individual's self-assessed health status, all of which were derived from a Cox regression model. Importantly, estimates of 10-year mortality derived from this model have proven to be highly accurate in a validation dataset. Another index, the Lee-Schonberg index, takes into consideration age, gender, BMI, comorbidities, cigarette smoking, physical activity, previous hospitalizations, and limitations in activities of daily living due to physical, mental, emotional, or cognitive problems to estimate the patient's remaining life expectancy.<sup>[27,28]</sup> None of these tools are perfect but they provide reasonable estimates of the probability of patient surviving long enough to benefit from cancer treatments and are generally better than subjective guessing.

#### *Impact of comorbidities on life expectancy*

The detrimental effect of comorbidities on survival needs to be estimated if not already considered while estimating life expectancy. A longitudinal observational study of 936 women with breast cancer aged 40–84 years showed that patients who had three or more comorbid medical conditions had a 20-fold higher rate of mortality from causes other than breast cancer and a 4-fold higher all-cause mortality rate compared to those who had no comorbid medical conditions.<sup>[29]</sup> In addition to its effect on survival, the presence of comorbid medical conditions also affects a patient's ability to tolerate cancer therapy as well as the choice of therapy. A patient with significant diabetic neuropathy does not merit taxanes, just like someone with cardiac disease will not merit anthracyclines. An

assessment of comorbidities can also provide information that is independent of the individual's functional status.<sup>[30]</sup>

The most commonly used general comorbidity measure is the Charlson Comorbidity Index (CCI). It was developed to predict 1-year mortality in medical inpatients and was subsequently validated in a population of breast cancer patients.<sup>[31]</sup> Nineteen comorbid conditions are assigned weights of 1, 2, 3, or 6, based on the ratio of the mortality risk for patients with the comorbidity of interest versus the mortality risk for those without. The sum of the weights of all the conditions is calculated to create a comorbidity index for each patient. The 10-year mortality estimates for comorbid disease for the different scores were: "0"–8%, "1"–25%, "2"–48%, and "≥3"–59%. Another example of comorbidity measure is the Cumulative Illness Rating Scale for Geriatrics. This scoring system measures the chronic medical illness (morbidity) burden while taking into consideration the severity of chronic diseases in 14 items representing individual body systems.<sup>[32]</sup>

Disease-specific comorbidity measures have also been developed and tested in a single disease population and intended for use only in that disease. In heart failure, the two most commonly used tools for predicting disease-specific mortality are the MAGIC meta-analysis model and the Seattle Heart Failure Model (SHFM). The MAGIC meta-analysis model includes 13 highly significant independent predictors of mortality in the following order of predictive strength: age, lower ejection fraction, NYHA class, serum creatinine, diabetes, not prescribed  $\beta$ -blocker, lower systolic BP, lower body mass, time since diagnosis, current smoker, chronic obstructive pulmonary disease, male gender, and not prescribed angiotensin-converting enzyme (ACE) inhibitor or angiotensin receptor blockers. Based on these factors, an integer score is calculated which has been shown to predict 1- and 3-year mortality.<sup>[33]</sup> This score can be calculated in clinic by an easy-to-use web-based tool.<sup>[34]</sup> The SHFM is another validated prediction model that estimates total mortality in patients with heart failure using commonly obtained clinical, laboratory, medication, and device variables.<sup>[35]</sup>

#### **Application of Principles to our Patient: Mrs. X**

##### **Benefit of adjuvant chemotherapy in Mrs. X – PREDICT score**

Applying the principles of evaluation discussed above, the absolute benefit of adjuvant chemotherapy was estimated using the PREDICT score [Figure 2].<sup>[7]</sup> The breast cancer-related mortality at 5 and 10 years was estimated in Mrs. X as 42% and 56%, respectively. The predicted absolute 5- and 10-year reduction in mortality with second-generation chemotherapy regimen such as standard 4AC followed by 4P or 4TC was 10% and 11.3%, respectively. An additional mortality benefit of 4.5% at 5 years and 5.3% at 10 years was expected with

third-generation regimens such as dose-dense, wP or 6 cycles of TAC.

### Fitness for chemotherapy and toxicity risk

The geriatric risk assessment of Mrs. X using the fTRST tool was scored zero. Hence, she did not qualify for CGA.<sup>[19]</sup> Applying the CARG model of toxicity prediction, she scored 7 and was in medium risk strata which meant up to 52% chance of Grade III or higher toxicity from standard adjuvant polychemotherapy.<sup>[20]</sup>

### Life expectancy of Mrs. X at 65 years of age

As per the WHO life tables for women in India, her remaining life expectancy was calculated at 14.5 years without cancer or any comorbidity.<sup>[23,24]</sup> The combined Lee-Schonberg index estimated her survival with and without breast cancer at 5 years as 96% and 98%, respectively, and at 10 years as 77% and 90%, respectively.<sup>[25]</sup> The ePrognosis tool estimated her 10-year mortality as 25% with cancer and 15% without cancer.<sup>[25]</sup>

### Impact of cardiac compromise and hypertension on life expectancy

The CCI predicted an independent 10-year mortality risk due to congestive heart failure as 25% and combined with breast cancer as 59% in Mrs. X.<sup>[31]</sup> The MAGIC meta-analysis model predicted 1- and 3-year mortality due to heart failure as 5.8% and 14.6% (considering her as having NYHA Class I symptoms), respectively. If treated with ACE inhibitors or angiotensin receptor blockers and  $\beta$ -blockers, the mortality decreased to 3.9% and 10.2% at 1- and 5-year time points, respectively.<sup>[34]</sup> The SHFM similarly predicted a 5-year mortality of 24% due to heart failure which decreased to 13% if ACE inhibitors and  $\beta$ -blockers were given.<sup>[35]</sup>

### Evaluating competing causes of mortality and risk-benefit assessment of chemotherapy in Mrs. X

Table 1 shows an overview of the patient's life expectancy and the mortality risks due to breast cancer, heart failure, natural causes, and the absolute benefit of chemotherapy, considering no overlap between the probabilities. We can see that the patient's chances of dying due to breast cancer is much higher than dying naturally or due to heart failure at 5 years (42% vs. 15%, respectively) and 10 years (56% vs. 40%, respectively). Therefore, adjuvant chemotherapy will be beneficial for this patient as it will decrease the breast cancer-related mortality. We can also understand that the benefit of adjuvant chemotherapy will decrease after 5 years due to age and comorbidities. Adjuvant chemotherapy was expected to decrease mortality by 16.5% at 10 years as per PREDICT. However, as per competing risk model, the cumulative probability of survival at 10 years without chemotherapy with competing mortality risks of cancer (56%), heart failure (25%), and natural death (15%) will be

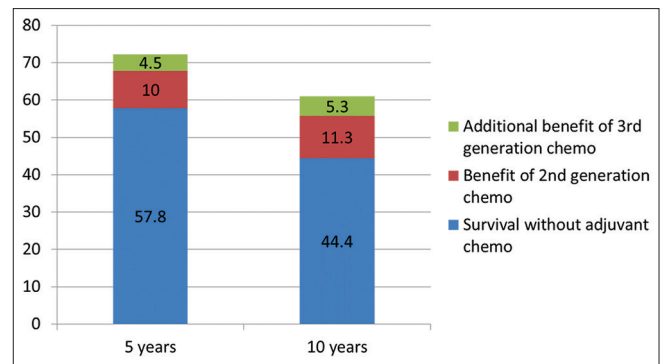


Figure 2: Estimated 5- and 10-year mortality rates with or without adjuvant chemotherapy in patient Mrs. X as per PREDICT<sup>[7]</sup>

Table 1: Life expectancy and adjuvant chemotherapy risk/benefit estimates of Mrs. X

Variable	Patient's value at 5 years (%)	Patient's value at 10 years (%)
Estimated survival without cancer <sup>[25]</sup>	98	85
Cancer-specific mortality <sup>[7]</sup>	42	56
Heart failure-related mortality <sup>[31,35]</sup>	13	25
Death due to natural causes	2	15
Treatment-related mortality – acute and chronic	1-2	1-2
Survival benefit due to adjuvant chemotherapy as per PREDICT <sup>[7]</sup>	14.5	16.5
Severe toxicity (acute/subacute) <sup>[20]</sup>	52	-
Cumulative probability of survival without chemotherapy as per competing risk model	49	28
Cumulative probability of survival with chemotherapy as per competing risk model	60.5	38
Survival benefit of chemotherapy as per competing risk model	11.5	10

$([1-0.56] \times [1-0.25] \times [1-0.15])$  which is 28%. With chemotherapy leading to 16.5% decrease in cancer-related mortality and 2% increase due to treatment-related deaths, the cumulative probability of survival at 10 years will be  $([1-0.395] \times [1-0.25] \times [1-0.15] \times [1-0.02])$  which is 38%. Hence, with competing risks of dying due to causes other than breast cancer at 10 years, chemotherapy would best reduce the mortality by 10% only.

Hence, this patient may not derive the extra benefit of the intensified third-generation chemotherapy regimens over the second-generation ones but would definitely have to bear the extra toxicity, if third-generation regimen is



used. This needs to be taken into account for selecting the appropriate chemotherapy regimen. Although chemotherapy carries 52% risk of Grade III or worse toxicities, the mortality reduction benefit clearly outweighs the risks in this patient. The comorbidity status of this patient, especially the reduced cardiac function, has to be carefully considered while selecting chemotherapy regimen. Clearly, anthracyclines are contraindicated in this patient. She may also need granulocyte-colony-stimulating factor support to prevent FN if a chemotherapy regimen with high likelihood of this complication is chosen.

### Our plan of adjuvant chemotherapy regimen

In view of her baseline subclinical but significant cardiac dysfunction, the patient was not considered fit for any anthracycline-based chemotherapy. Hence the two options of chemotherapy for her included 4 cycles of TC or 6 cycles of CMF followed by taxanes. Due to her node-positive status, TC was thought to be an inferior chemotherapy regimen. Hence, we started adjuvant chemotherapy with classical CMF regimen with a plan to start wP after 6 cycles, followed by locoregional radiotherapy. The patient was also referred to a cardiologist for optimizing and initiating cardiovascular preventive treatment. She was started on atorvastatin due to high low-density lipoprotein and ramipril.

### Discussion

The case of Mrs. X is not an uncommon scenario in a medical oncology clinic and yet it can be particularly challenging to devise an optimal adjuvant strategy for such patients. This review outlines an optimal approach toward risk assessment in such patients and estimates the pros and cons of adjuvant chemotherapy so that a well-informed decision can be taken. Numerous web-based tools are currently available and some validated ones should be used in the clinic. These tools help in framing a realistic picture of the benefits and harms of chemotherapy and its relative efficacy or failure in the context of patient's disease, comorbidities, and functional status.

The first step is to assess the benefit from adjuvant chemotherapy. Apart from defining recurrence risk and consequent benefit as per classical clinicopathologic features, web-based prognostic indices (e.g., Adjuvant! Online or PREDICT) can also be utilized for obtaining quantitative estimates. For patients aged 65 years or more, brief geriatric assessment tools should be utilized to identify important deficits which may affect treatment tolerance, guide multidisciplinary intervention, and aid treatment selection. Next, tools such as CARG or CRASH should be utilized to estimate the risk of chemotherapy toxicities in older patients. We then suggest estimating life expectancy and the independent impact of cancer and comorbidities on survival so that the competing causes of mortality can be compared and goals of care can be prioritized.

A recent study compared a novel generalized competing event (GCE) model based on similar principles as discussed above with standard Cox proportional hazards model for stratifying elderly patients with cancer.<sup>[36]</sup> The authors calculated risk scores for cancer-specific and all-cause mortalities in 84,319 senior patients (age over 66 years) with nonmetastatic prostate, head and neck, and breast cancers, identified from the SEER database. In the breast cancer subgroup ( $n = 22,929$ ) diagnosed from 2004 to 2009, the 5-year cumulative incidences of all-cause, cancer-specific, second cancer, and noncancer mortality were 20.1%, 4.8%, 3.1%, and 12.2%, respectively. With the GCE model, effect estimates for stage, grade, tumor size, and nodal status were comparable to those obtained from Cox model in magnitude and direction. However, the GCE estimates for effect of age, teaching hospital, and CCI were opposite of those obtained from Cox model. The authors concluded that GCE models created greater separation in area under the curve for cancer-specific mortality versus noncancer mortality ( $P < 0.001$ ), indicating better discriminatory ability between these competing events.

Before selecting the chemotherapy regimen, a discussion on benefit and risks of each regimen is warranted within the context of patient's values and preferences. Among multiple chemotherapy regimens, a detailed risk-benefit analysis would not only help in selecting the appropriate regimen but also define the optimal supportive care required. Nevertheless, classical tumor clinicopathologic features would remain the most important guide for tailoring the choice of regimen. For example, in our patient, the node-positive status of her tumor influenced the choice of chemotherapy.

Finally, the importance of detailed evaluation of cardiovascular risk factors and initiating preventive cardiovascular therapy in elderly patients with early breast cancer cannot be overstated. A recently published Canadian population-based cohort study in 98,999 women with early breast cancer found that, among women aged 66 years or older, the risks of breast cancer death and cardiovascular death at 10 years were 11.9% and 7.6%, respectively. Among patients with prior cardiovascular disease including hypertension and diabetes, the risks of death from breast cancer and cardiovascular disease were equivalent in the first 5 years, after which death from cardiovascular causes was more frequent (10-year cumulative incidence, 14.6% [95% confidence interval (CI), 13.7%–15.4%] for breast cancer vs. 16.9% [95% CI, 16.0%–17.8%] for cardiovascular disease). For women aged 66 years or older who survived 5 years or more after diagnosis of breast cancer, cardiovascular disease exceeded breast cancer as the leading cause of death at 10 years after diagnosis, when the cumulative incidence of each was 5%.<sup>[37]</sup>

Such a detailed multistep evaluation before adjuvant chemotherapy may not be warranted for young and fit

patients with high-risk disease. However, amongst old patients with significant comorbidities, detailed evaluation as described above will help in selecting those who are likely to benefit from adjuvant chemotherapy while excluding patients with high chances of harm. This strategy upholds the fundamental principle that underlies the practice of good medicine –“Primum non nocere.”

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### Conflicts of interest

There are no conflicts of interest.

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